

## Outline of 0208 Waiver for Individuals with Developmental Disabilities amendment:

### Amendment:

1. Request Info
  - E. Proposed Effective Date: 10/01/2015
2. Purpose of Amendment:  
Items to be addressed in the proposed amendment of the 0208 waiver.
  - Eliminate the Live-In Caregiver as a service option for 0208 members. This service has been utilized one time during length of time it has been a waiver service. Currently no members are using the service.
  - Adjust Appendix J estimates due to a 2% provider rate increase approved during the Montana 2015 legislature.
  - Reserve capacity for members in the 1037 Supports for Community Working and Living Waiver.
  - Adjust number of individuals served to account for the 1037 Waiver and a legislative appropriation authorizing an additional 50 waiver slots for FY 16 and 50 waiver slots for FY 17
3. Nature of Amendment:
  - A. Component of the Approved Waiver : Check the following
    - Appendix B: subsection B-3
    - Appendix C: Subsection C-1, C-1/C-3 Service Specification, C-2
    - Appendix E: Subsection E-1
    - Appendix I: Subsection I-6
    - Appendix J: J-1, J-2
  - B. Nature of Amendment: check the following:
    - add/delete service
    - Increase/decrease number of participants
    - Revise cost neutrality demonstration

### Main:

1. Request Information: no change
2. Brief Waiver Description: no change
3. Components: no change
4. Waiver(s) Requested: no change
5. Assurances: no change
6. Additional Requirements
  - I. Public Input: add public notice, tribal notice, and MT Health Coalition (MHC) information after public meetings and tribal notice has been sent.
7. Contact Person: Change to Stacy Mader
8. Authorization: Mary Eve takes care of this
  - A. Attachment 1: Check Combining Waivers:
    - Specify the transition plan for combining the waivers: Members in the 1037 waiver will not see a change in their waiver service. All services available in the 1037 are currently available in the 0208. The services have the same name and service description. Those services also have the same opportunities for participant direction of services. All individuals currently in the 1037 waiver will meet the eligibility criteria for the 0208 waiver. The discontinuation letter will be sent to members in the 1037 and their case managers on July 31, 2015. Tribal consultation letter and MT Health Coalition letter will be mailed July 31, 2015. Public notice will

be in newspapers August 2, 2015. Public comment period is August 3 – September 3, 2015.

- B. Attachment 2: Home and Community-Based Settings Waiver Transition Plan: see attachment plan.

Appendix A: Waiver Administration and Oversight: no change in any section

Appendix B: Participant Access and Eligibility

1. B-1: Specification of Waiver Target Group(s): no change in any section
2. B-2: Individual Cost Limit (1 of 2) and (2 of 2): no change in any section
3. B-3: Number of Individuals Served (1 of 4)
  - a. Unduplicated Number of Participants:
    - Year 3 (FY16): 2830
    - Year 4 (FY17): 2880
    - Year 5 (FY18): 2880
  - b. Limitation on the Number of Participants Served at Any Point in Time:
    - Year 3 (FY16): 2727
    - Year 4 (FY17): 2777
    - Year 5 (FY18): 2777
  - c. Reserved Waiver Capacity.
    - Add Purpose: Reserve capacity in year 3 (FY16) for the 12 members currently receiving services in the 1037 waiver.
  - d. Scheduled Phase-In or Phase-out: no change
  - e. Allocation of Waiver Capacity: no change
  - f. Selection of Entrants to the Waiver: no change
4. B-4: Eligibility Groups Served in the Waiver: no change
5. B-5: Post-Eligibility Treatment of income: no change
6. B-6: Evaluation/Reevaluation of Level of Care: no change
  - Quality Improvement: Level of Care: no change
7. B-7: Freedom of Choice: no change
8. B-8: Participant Access and Eligibility: no change

Appendix C: Participant Services

1. C-1: Summary of Services Covered (1 of 2)
  - a. Waiver Services Summary: Eliminate Live-In Caregiver
  - b. Provision of Case Management Services to Waiver Participants: no change
  - c. Delivery of Case Management Services: no change
2. C-2: General Service Specifications: no changes
  - Quality Improvement: Qualified Providers: no changes
3. C-3: Waiver Services Specifications: Eliminate Live-In Caregiver
4. C-4: Additional Limits on Amount of Waiver Services: no changes
5. C-5: Home and Community-Based Settings: part of the transition plan submitted with the amendment.

Appendix D: Participated-Centered Planning and Service Delivery: no change in any section

Appendix E: Participant Direction of Services

1. E-1 Overview:

- a. Description of Participant Direction: Eliminate Live-In Caregiver under Agency with Choice Employer Authority.
  - b. Participant Direction Opportunities: no changes
  - c. Availability of Participant Direction by Type of Living Arrangement: no changes
  - d. Election of Participant Direction: no changes
  - e. Information Furnished to Participant: no changes
  - f. Participant Direction by a Representative: no changes
  - g. Participant-Directed Services: eliminate Live-In Caregiver
  - h. Financial Management Services: no changes
  - i. Provision of Financial Management Services: no changes
  - j. Information and Assistance in Support of Participant Direction: no changes
  - k. Independent Advocacy: no changes
  - l. Voluntary Termination of Participant Direction: no changes
  - m. Involuntary Termination of Participant Direction: no changes
  - n. Goals for Participant Direction: no changes
2. E-2: Opportunities for Participant Direction: no changes

Appendix F: Participant Rights: no change in any section

Appendix G: Participant Safeguards: no change in any section

Appendix H: Quality Improvement Strategy: no change in any section

Appendix I: Financial Accountability

- 1. I-1: Financial Integrity and Accountability: no changes
- 2. I-2: Rates, Billing and Claims: no changes
- 3. I-3: Payment: no changes
- 4. I-4: Non-Federal Matching Funds: no changes
- 5. I-5: Exclusion of Medicaid Payment for Room and Board: no changes
- 6. I-6: Payment for Rent and Food Expenses of an Unrelated Live-In Caregiver: Change designation to "No. the State does not reimburse for the rent and food expenses of an unrelated live-in personal caregiver who resides in the same household as the participant."
- 7. I-7: Participant Co-Payments for Waiver Services and Other Cost Sharing: no changes

Appendix J: Cost Neutrality Demonstration

- 1. J-1: Composite Overview:
 

Factor G' Derivation: The values for Year 3 were increase based upon an approximate 2% rate increase for some services effective July 1, 2015. The values for Year 4 were increased based up an approximate 2% anticipated rate increase for some services that is expected to be effective July 1, 2016. Year 5 values were adjusted to reflect the provider rate the rates that are expected to be in effect July 1, 2016. Adjustments were also made to the service user number and average use per user. These adjustments were based on a review of fiscal data from years 1 and 2 of the waiver.
- 2. J-2: Derivation of Estimates:
  - a. Number of Unduplicated Participants served:
    - Year 3 (FY16): 2830
    - Year 4 (FY17): 2880
    - Year 5 (FY18): 2880

- b. Average Length of Stay: no changes
- c. Derivation of Estimates for Each Factor: live-in caregiver
- d. Estimate of Factor D:

Waiver Year 3 (FY16):

Service	Unit	Users	Avg. Unit/User	Avg Cost/Unit	Component Cost
Day Supports/Activities	hour	1439	490	22.62	
Homemaker	hour	87	104	19.77	
Job Discovery	hour	50	20	37.13	
Job Preparation	hour	1,100	375	22.62	
Residential Habilitation	hour	2150	1399	23.48	
Respite	hour	459	260	14.90	
Supported Employment/Follow-Along	hour	375	20	37.13	
Waiver Funded Children's Case Management	15 min	375	295	17.04	
Occupational Therapy	hour	5	3	89.56	
Physical Therapy	hour	5	3	89.56	
Psychological Services	hour	40	5	144.90	
Speech Therapy	hour	3	4	56.13	
Supports Brokerage	hour	15	48	28.55	
Adult Companion	hour	300	360	19.77	
Residential Training Supports (part of Adult Foster)	hour	10	360	25.96	
Adult Foster Supports (part of Adult Foster)	month	55	12	2112.16	
Assisted Living	day	7	335	164.07	
Behavior Support Services	hour	125	75	57.60	
Caregiver Training and Support	hour	325	25	51.56	
Environmental Mods/Adaptive Equip	one time	210	1.0	2452.92	
Individual Goods and Services	year	750	1.0	1004.36	
Meals	meal	13	295	5.51	
Nutritionist	hour	1	4.0	59.98	
Personal Care	hour	6	335	19.77	
Personal Emergency Response System	month	200	12	52.02	
Personal Supports	hour	75	520	19.77	
Private Duty Nursing	hour	140	242	31.86	
Remote Monitoring	hour	21	1456	7.93	
Retirement Services	hour	150	710	22.62	
Supported Employment/co-worker support	hour	3	120	10.20	
Supported Employment/Ind. Support	hour	10	180	37.13	
Supported Employment/Small Group	hour	175	122	6.87	
Transportation	One way	2300	468	4.74	

Waiver Year 4 (FY17):

Service	Unit	Users	Avg. Unit/User	Avg Cost/Unit	Component Cost
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Day Supports/Activities	hour	1439	490	23.07	
Homemaker	hour	87	104	20.17	
Job Discovery	hour	50	20	37.87	
Job Preparation	hour	1,100	375	23.07	
Residential Habilitation	hour	2150	1399	23.95	
Respite	hour	459	260	15.20	
Supported Employment/Follow-Along	hour	375	20	37.87	
Waiver Funded Children's Case Management	15 min	375	295	17.38	
Occupational Therapy	hour	5	3	91.35	
Physical Therapy	hour	5	3	91.35	
Psychological Services	hour	40	5	147.80	
Speech Therapy	hour	3	4	57.25	
Supports Brokerage	hour	15	48	29.12	
Adult Companion	hour	300	360	20.17	
Residential Training Supports (part of Adult Foster)	hour	10	360	26.48	
Adult Foster Supports (part of Adult Foster)	month	55	12	2154.40	
Assisted Living	day	7	335	164.35	
Behavior Support Services	hour	125	75	58.75	
Caregiver Training and Support	hour	325	25	52.59	
Environmental Mods/Adaptive Equip	one time	210	1.0	2501.98	
Individual Goods and Services	year	750	1.0	1024.45	
Meals	meal	13	295	5.62	
Nutritionist	hour	1	4.0	61.18	
Personal Care	hour	6	335	20.17	
Personal Emergency Response System	month	200	12	52.02	
Personal Supports	hour	75	520	19.77	
Private Duty Nursing	hour	140	242	32.50	
Remote Monitoring	hour	21	1456	8.09	
Retirement Services	hour	150	710	23.07	
Supported Employment/co-worker support	hour	3	120	10.40	
Supported Employment/Ind. Support	hour	10	180	37.87	
Supported Employment/Small Group	hour	175	122	7.01	
Transportation		2300	468	4.74	

#### Wavier Year 5 (FY18)

Service	Unit	Users	Avg. Unit/User	Avg Cost/Unit	Component Cost
Day Supports/Activities	hour	1439	490	23.07	
Homemaker	hour	87	104	20.17	
Job Discovery	hour	50	20	37.87	
Job Preparation	hour	1,100	375	23.07	
Residential Habilitation	hour	2150	1399	23.95	
Respite	hour	459	260	15.20	
Supported Employment/Follow-Along	hour	375	20	37.87	

Waiver Funded Children's Case Management	15 min	375	295	17.38	
Occupational Therapy	hour	5	3	91.35	
Physical Therapy	hour	5	3	91.35	
Psychological Services	hour	40	5	147.80	
Speech Therapy	hour	3	4	57.25	
Supports Brokerage	hour	15	48	29.12	
Adult Companion	hour	300	360	20.17	
Residential Training Supports (part of Adult Foster)	hour	10	360	26.48	
Adult Foster Supports (part of Adult Foster)	month	55	12	2154.40	
Assisted Living	day	7	335	164.35	
Behavior Support Services	hour	125	75	58.75	
Caregiver Training and Support	hour	325	25	52.59	
Environmental Mods/Adaptive Equip	one time	210	1.0	2501.98	
Individual Goods and Services	year	750	1.0	1024.45	
Meals	meal	13	295	5.62	
Nutritionist	hour	1	4.0	61.18	
Personal Care	hour	6	335	20.17	
Private Duty Nursing	hour	140	242	32.50	
Personal Emergency Response System	month	200	12	52.02	
Personal Supports	hour	75	520	19.77	
Remote Monitoring	hour	21	1456	8.09	
Retirement Services	hour	150	710	23.07	
Supported Employment/co-worker support	hour	3	120	10.40	
Supported Employment/Ind. Support	hour	10	180	37.87	
Supported Employment/Small Group	hour	175	122	7.01	
Transportation		2300	468	4.74	